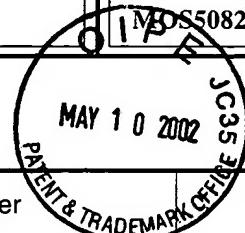


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

MOS5082.02A(ETE5342.02A)

In Re Application Of: LOUISE E. MOSER; PETER M. MELLiar-SMITH



Serial No.  
10/053,240

Filing Date  
OCTOBER 26, 2001

Examiner

Group Art Unit  
2184

Title: FAULT TOLERANCE FOR COMPUTER PROGRAMS THAT OPERATE OVER A COMMUNICATION NETWORK

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17)(**MAY 17 2002**)

- A check in the amount of \_\_\_\_\_ is attached. **Technology Center 2100**
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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*Signature*  
JOHN P. O'BANION, REG. NO. 33,201  
O'BANION & RITCHIE LLP  
400 CAPITOL MALL, SUITE 1550  
SACRAMENTO, CA 95814  
(916) 498-1010

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of: LOUISE E. MOSER; PETER M. MELLiar-SMITH

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Title: FAULT TOLERANCE FOR COMPUTER PROGRAMS THAT OPERATE OVER A COMMUNICATION NETWORK

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37 CFR 1.97(b)

Technology Center 2100

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

OR

- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): LOUISE E. MOSER; PETER M. MELLAR-SMITH

Docket No.

MOS5082.02A(ETE5342.02A)

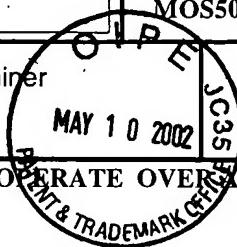
Serial No.  
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Invention: FAULT TOLERANCE FOR COMPUTER PROGRAMS THAT OPERATE OVER A COMMUNICATION NETWORK

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Applicant(s): LOUISE E. MOSER; PETER M. MELLAR-SMITH

Docket No.

MOS5082.02A(ETE5342.02A)

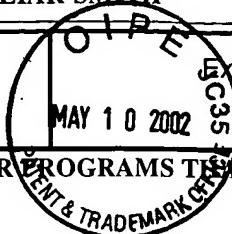
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MOS5082.02A(ETE5342.02A)Serial No.  
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Information Disclosure Citation (PTO-1449) (Page 1 &amp; 2)

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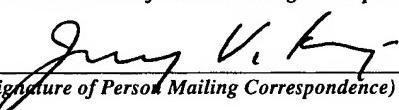
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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) MOS5082.02A(ETE5342.02A)		Application Number 10/053,240	
 <b>Applicant(s)</b> <b>LOUISE E. MOSER; PETER M. MELLiar-SMITH</b>				Filing Date OCTOBER 26, 2001		Group Art Unit 2184	
<b>U.S. PATENT DOCUMENTS</b>							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,819,159	04/1989	Shipley et al.			
		5,465,328	11/1995	Dievendorff et al.			
		5,586,310	12/1996	Sharman			<b>RECEIVED</b>
		5,737,738	04/1998	Sharman			MAY 17 2002
		6,052,695	04/2000	Abe et al.			<b>Technology Center 2100</b>
<b>FOREIGN PATENT DOCUMENTS</b>							
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
		Bernstein, P.A. et al.; "CONCURRENCY CONTROL AND RECOVERY IN DATABASE SYSTEMS," Cover Page, Preface-Contents, pp. xi thru xiii, pp. 1 thru 24, Addison-Wesley Publishing Company, Reading, MA, 1987.					
		Gary, Jim and Reuter, Andreas; "TRANSACTION PROCESSING: CONCEPTS AND TECHNIQUES," Cover Page, Contents, pp. vii thru xxi, pp. 5 thru 7, pp. 117 thru 119, pp. 202 thru 205, Morgan Kaufman Publishers, San Mateo, CA, 1993.					
EXAMINER				DATE CONSIDERED			
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## INFORMATION DISCLOSURE CITATION

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Docket Number (Optional)

MOS5082.02A(ETE5342.02A)

Application Number

10/053,240

Applicant(s)

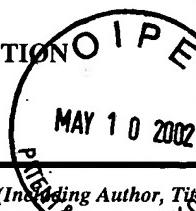
LOUISE E. MOSER; PETER M. MELLAR-SMITH

Filing Date

OCTOBER 26, 2001

Group Art Unit

2184



*EXAMINER INITIAL	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Moser, L.E. et al.; "CONSISTENT OBJECT REPLICATION IN THE ETERNAL SYSTEM," Theory and Practice of Object Systems, Vol. 4, No. 2, pp. 81-92, January, 1998.
	Moser, L.E. et al.; "TOTEM: A FAULT-TOLERANT MULTICAST GROUP COMMUNICATION SYSTEM," Communications of the ACM, Vol. 39, No. 4, pp. 54-63, April, 1996.
	Object Management Group, CORBA Services Specification, "TRANSACTION SERVICE SPECIFICATION," Cover Page, Copyright-documentation, 3 pages, Errata Sheet pp. 1 & 2, Contents pp. i thru xii, Section 1 pp. 1-1 thru 1-18, Section 2 pp. 2-1 thru 2-64, Complete OMG IDL pp. A-1 thru A-8, Relationship to TP Standards pp. B-1 thru B-12, Glossary pp. Gloss-1 thru Gloss-6, Index pp. Index-1 & Index-2, November, 1997.
	Object Management Group, CORBA Services Specification, "FAULT TOLERANT CORBA," pp. 25-1 thru 25-106, January, 2000.
	Siewiorek, D.P. and Swarz, R.S.; "RELIABLE COMPUTER SYSTEMS: DESIGN AND EVALUATION, 2nd Edition, Cover page, frontpiece, Contents pp. vii thru xix, Chapter I-The Theory of Reliable System Design, pp. 1 thru 33, Chapter 3-Reliability Techniques, pp. 79 thru 85, 169 thru 203, 207 thru 209, 213 thru 219, Digital Press, Burlington, MA, 1992.
	Gray, Jim, Editor; "THE BENCHMARK HANDBOOK FOR DATABASE AND TRANSACTION PROCESSING SYSTEMS," Cover page, Table of Contents, pp. v thru ix, pp. 1 thru 17, Morgan Kaufmann Publishers, Inc., San Mateo, CA, 1991.
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